Send with fee and attachments to:
NYS Office of the Attorney General
Charities Bureau Registration Section
28 Liberty Street
New York, NY 10005

Open to Public Inspection

1. General Information

For Fiscal Year Beginning (mm/dd/yyyy) 12/01/2017 and Ending (mm/dd/yyyy) 11/30/2018

Check if Applicable: ☐ Address Change ☐ Name Change ☐ Initial Filing ☐ Final Filing ☐ Amended Filing ☐ Reg ID Pending

Name of Organization: Ulster County Community Action Committee, Inc.

Employer Identification Number (EIN): 14-1491879

Mailing Address: 70 Lindsay Avenue

NY Registration Number: 056586

City/State/Zip: Kingston, NY 12401-3316

Telephone: 845-338-8750

Website: N/A

Email: N/A

Check your organization’s registration category: ☐ 7A only ☐ EPTL only ☑ DUAL (7A & EPTL) ☐ EXEMPT* Confirm your Registration Category in the Charities Registry at www.CharitiesNYS.com

2. Certification

We certify under penalties of perjury that we reviewed this report, including all attachments, and to the best of our knowledge and belief, they are true, correct and complete in accordance with the laws of the State of New York applicable to this report.

President or Authorized Officer: Greg Howard Executive Director

Signature Printed Name Title Date

Chief Financial Officer or Treasurer: Carl Belfiglio Treasurer

Signature Printed Name Title Date

3. Annual Reporting Exemption

Check the exemption(s) that apply to your filing. If your organization is claiming an exemption under one category (7A or EPTL only filers) or both categories (DUAL filers) that apply to your registration, complete only parts 1, 2, and 3, and submit the certified Char500. No fee, schedules, or additional attachments are required. If you cannot claim an exemption or are a DUAL filer that claims only one exemption, you must file applicable schedules and attachments and pay applicable fees.

☐ 3a. 7A filing exemption: Total contributions from NY State including residents, foundations, government agencies, etc did not exceed $25,000 and the organization did not engage a professional fund raiser (PFR) or fund raising counsel (FRC) to solicit contributions during the fiscal year. Or the organization qualifies for another 7A exemption (see instructions).

☐ 3b. EPTL filing exemption: Gross receipts did not exceed $25,000 and the market value of assets did not exceed $25,000 at any time during the fiscal year.

4. Schedules and Attachments

See the following page for a checklist of schedules and attachments to complete your filing.

☐ Yes ☒ No 4a. Did your organization use a professional fund raiser, fund raising counsel or commercial co-venturer for fund raising activity in NY State? If yes, complete Schedule 4a.

☐ Yes ☒ No 4b. Did the organization receive government grants? If yes, complete Schedule 4b.

5. Fee

See the checklist on the next page to calculate your fee(s). Indicate fee(s) you are submitting here:

<table>
<thead>
<tr>
<th>7A filing fee:</th>
<th>EPTL filing fee:</th>
<th>Total fee:</th>
</tr>
</thead>
<tbody>
<tr>
<td>$ 25.</td>
<td>$ 250.</td>
<td>$ 275.</td>
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</table>

Make a single check or money order payable to: 'Department of Law'

CHAR500 Annual Filing for Charitable Organizations (Updated April 2018)

*The Exempt category refers to an organization’s NYS registration status. It does not refer to its IRS tax designation.

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Simply submit the certified CHAR500 with no fee, schedule, or additional attachments IF:
- Your organization is registered as 7A only and you marked the 7A filing exemption in Part 3.
- Your organization is registered as EPTL only and you marked the EPTL filing exemption in Part 3.
- Your organization is registered as DUAL and you marked both the 7A and EPTL filing exemption in Part 3.

Checklist of Schedules and Attachments

Check the schedules you must submit with your CHAR500 as described in Part 4:

☐ If you answered ‘yes’ in Part 4a, submit Schedule 4a: Professional Fund Raisers (PFR), Fund Raising Counsel (FRC), Commercial Co-Venturers (CCV)
☒ If you answered ‘yes’ in Part 4b, submit Schedule 4b: Government Grants

Check the financial attachments you must submit with your CHAR500:

☒ IRS Form 990, 990-EZ, or 990-PF, and 990-T if applicable
☒ All additional IRS Form 990 Schedules, including Schedule B (Schedule of Contributors). Schedule B of public charities is exempt from disclosure and will not be available for public reviews.
☐ Our organization was eligible for and filed an IRS 990-N e-postcard. Our revenue exceeded $25,000 and/or assets exceeded $25,000 in the filing year. We have included an IRS Form 990-EZ for state purposes only.

If you are a 7A only or DUAL filer, submit the applicable independent Certified Public Accountant’s Review or Audit Report:

☐ Review Report if you received total revenue and support greater than $250,000 and up to $750,000.
☒ Audit Report if you received total revenue and support greater than $750,000
☐ No Review Report or Audit Report is required because total revenue and support is less than $250,000
☐ We are a DUAL filer and checked box 3a, no Review Report or Audit Report is required

Calculate Your Fee

For 7A and DUAL filers, calculate the 7A fee:
☐ $0, if you checked the 7A exemption in Part 3a
☒ $25, if you did not check the 7A exemption in Part 3a

For EPTL and DUAL filers, calculate the EPTL fee:
☐ $0, if you checked the EPTL exemption in Part 3b
☐ $25, if the NET WORTH is less than $50,000
☐ $50, if the NET WORTH is $50,000 or more but less than $250,000
☐ $100, if the NET WORTH is $250,000 or more but less than $1,000,000
☒ $250, if the NET WORTH is $1,000,000 or more but less than $10,000,000
☐ $750, if the NET WORTH is $10,000,000 or more but less than $50,000,000
☐ $1,500, if the NET WORTH is less $50,000,000 or more

Send Your Filing

Send your CHAR500, all schedules and attachments, and total fee to:

NYS Office of the Attorney General
Charities Bureau Registration Section
28 Liberty Street
New York, NY 10005

Need Assistance?
Visit: www.CharitiesNYS.com
Call: (212) 416-8401
Email: Charities.Bureau@ag.ny.gov

CHAR500 Annual Filing for Charitable Organizations (Updated April 2018)
1. Organization Information

<table>
<thead>
<tr>
<th>Name of Organization</th>
<th>NY Registration Number</th>
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<tbody>
<tr>
<td>Ulster County Community Action</td>
<td>056586</td>
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2. Government Grants

<table>
<thead>
<tr>
<th>Name of Government Agency</th>
<th>Amount of Grant</th>
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<tbody>
<tr>
<td>1. US Dept of Health Services</td>
<td>2,743,998</td>
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<tr>
<td>2. NYS DHCR</td>
<td>655,192</td>
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<tr>
<td>3. State of New York, Dept of State</td>
<td>206,657</td>
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<td>4. New York State Dept of Health</td>
<td>156,851</td>
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<td>5. NYS Energy R&amp;D Authority</td>
<td>271,293</td>
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<td>6. NYS Dormitory Authority</td>
<td>313,401</td>
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<td>15.</td>
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<tr>
<td>Total Government Grants:</td>
<td>4,347,392</td>
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